



JPW

PATENT

DOCKET JB0602

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: R. Fuerst et al

Examiner: MATHIEU D. VARGOT

5 Serial Number: 10/735,451  
Filed: 12/12/03

:Group 1732  
:Phone (571) 272 1211  
:FAX (703)

Re: The Application For:

Date 02/16/08

FABRICATION OF IMPROVED CONTACT LENS UTILIZING  
POLYMER ELECTROSPINNING

15 SUBMISSION OF POWER OF ATTORNEY TO PROSECUTE  
APPLICATIONS BEFORE THE USPTO (PTO/SB/80 AND SUBMISSION OF  
STATEMENT UNDER 37 CFR 3.73(b) (PTO /SB/96)

Mail Stop: NON-FEE AMENDMENT

20 Hon. Commissioner of Patents and Trademarks  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

Dear Sir:

25 I enclose the subject forms signed by Randall Fuerst, President of Ocugenics, LLC, the  
present owner of the subject application. An Assignment of the application from the  
Inventors to Ocugenics was FAX transmitted to the Assignment Division of the PTO  
for recordation on 02/13/08. A copy of the five page Assignment is also enclosed  
herein as required by item "A" on the enclosed STATEMENT UNDER 37 CFR  
30 3.73(b) (PTO /SB/96).

Respectfully Submitted,

James F. Kirk  
James F. Kirk.

Email jimkirk@jimkirk4patents.com  
35 Phone (714) 840-1403  
Fax (714) 840-8434  
Mail Correspondence to:  
16365 Maruffa Circle  
Huntington Beach, CA 92649-2134

40



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15 **Hon. Commissioner of Patents and Trademarks**  
**PO BOX 1450**  
**ALEXANDRIA, VA 22313-1450**

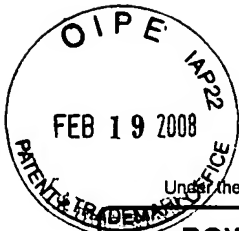
Dear Sir:

20 **CERTIFICATE OF MAILING (37 CFR 8(a))**  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage in an envelope addressed to the : Commissioner of Patents and Trademarks, PO BOX 1450, ALEXANDRIA, VA 22313-1450

- 25 1. **POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE  
THE USPTO (PT0/SB/80)**  
2. **STATEMENT UNDER 37 CFR 3.73(b) (PTO /SB/96)**  
3. **Return Post Card**  
30 4. **A copy of an Assignment of Invention to Ocugenics**

James F. Kirk  
Type or print name of person mailing paper  
Date: 02/16/08

35 James F. Kirk  
(Signature of person mailing paper, James F. Kirk)



PTO/SB/80 (01-06)

Approved for use through 12/31/2008. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:



Practitioners associated with the Customer Number:

27225

OR



Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number	Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:



The address associated with Customer Number:

27225

OR

<input type="checkbox"/> Firm or Individual Name			
Address			
City	State	Zip	
Country			
Telephone			Email

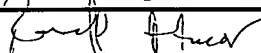
Assignee Name and Address:

OCUGENICS, LLC,  
RANDALL F. FUERST, PRESIDENT  
6816 WILD HORSE COURT, ORANGEVALE, CA 95662

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	02/13/08
Name	RANDALL FUERST, O.D.		Telephone (916) 718-0845
Title	PRESIDENT, OCUGENICS, LLC		

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JB0602



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Randall F. Fuerst, Joseph J. Bango, John B. Fenn and Michael E. Dziekan

Application No./Patent No.: 10/735,451 Filed/Issue Date: 12/12/03

Entitled: **METHOD FOR FABRICATION OF IMPROVED CONTACT LENS UTILIZING POLYMER ELECTROSPINNING**

OCUGENICS, LLC, a LIMITED LIABILITY CORPORATION  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

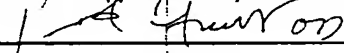
1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

<u></u>	<u>02/13/08</u>
Signature	Date
<u>RANDALL FUERST</u>	<u>(916) 718-0845</u>
Printed or Typed Name	Telephone Number
<u>PRESIDENT OCUGENICS, LLC</u>	
Title	

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## ASSIGNMENT

WHEREAS, we the undersigned, Randall F. Fuerst, a citizen of the United States, residing at 6816 Wild Horse Court, Orangevale, California 95662; Joseph J. Bango, a citizen of the United States, residing at 171 Cornwall Avenue, Cheshire, Connecticut 06410; John B. Fenn, a citizen of the United States, residing at 4909 Cary Street Road, Richmond, Virginia 23226, and Michael E. Dziekan, a citizen of the United States, residing at 696 Amity Road, Bethany, Connecticut 06524, have invented a new and useful FABRICATION OF IMPROVED CONTACT LENS UTILIZING POLYMER ELECTROSPINNING, Attorney Docket No. JB0602, for which an application for United States Letters Patent was executed on even date herewith and filed on 12/12/03, and assigned Serial No. 10/735,451, and

WHEREAS, we verily believe ourselves to be the original, first and sole inventors of the invention set forth in said application for Letters Patent and represent that we have not conveyed or hypothecated any right or interest therein; and

WHEREAS, OCUGENICS LLC, a corporation of the State of Nevada, sometimes hereinafter referred to as "said assignee," having a principal place of business at 6816 Wild Horse Court, Orangevale, California 95662, is desirous of acquiring the entire and exclusive right, title and interest in and to said invention, and any and all patent applications which may be filed thereon, and any and all Letters Patent which may be granted or issued therefore in the United States and throughout the world, including any and all divisions, continuations, reissues and extensions of any of the foregoing.

NOW, THEREFORE, in consideration of obligations voluntarily assumed by us and other good and valuable consideration, and for the further consideration of the sum of One Dollar (\$1.00) paid to us, receipt of which is hereby acknowledged, we do hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire and exclusive right, title and interest in and to said invention, patent applications and Letters Patents which may be granted or issued for said invention in the

United States and throughout the world, including all divisions, continuations, reissues and extensions thereof, and all international priority rights associated therewith, all to be held by us had this assignment not been made; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and duly constituted authorities of foreign countries to issue all Letters Patent relating to the foregoing assigned rights to said assignee, its successors and assigns.

We agree that we will at all times keep said assignee advised of our whereabouts; and we will promptly, upon request of said assignee, and without further compensation, but at no out-of-pocket expense to ourselves, do all lawful acts, including the execution of all necessary documents and the giving of testimony that, in the opinion of said assignee, its successors and assigns, may be necessary or desirable for obtaining sustaining, or reissuing United States and foreign Letters Patent relating to the foregoing assigned rights, and for perfecting, affirming, recording, and maintaining the title of said assignee, its successors and assigns thereto; and that we will generally cooperate to the fullest extent in all matters pertaining to said invention and patent and said assignee's title thereto.

IN WITNESS WHEREOF, we have executed this instrument on the date indicated adjacent to my name.

Dated: 1/21, 2008

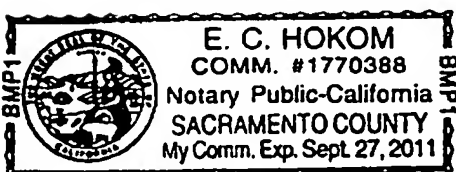
By: [Signature]  
Randall F. Fuerst

State of California                    )  
  )   ss.  
County of Sacramento                )

On 21 JANUARY, 2008, before me E.C. HOKOM, NOTARY PUBLIC, personally appeared Randall F. Fuerst, ~~personally known to me~~ or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument, the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[Signature]  
Notary Public



Dated: DEC. 11<sup>th</sup>, 2007

By: \_\_\_\_\_

Joseph J. Bango

State of Connecticut )

)

ss.

County of New Haven)

On 12/11/07, 2007, before me Dwaine J. Hicks personally appeared Joseph J. Bango, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument, the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Dwaine J. Hicks  
Notary Public

MY COMMISSION EXPIRES 12/31/2010

Dated: 12-6, 2007

By: \_\_\_\_\_

John B. Fenn  
John B. Fenn

State of Virginia )

)

ss.

City of Richmond )

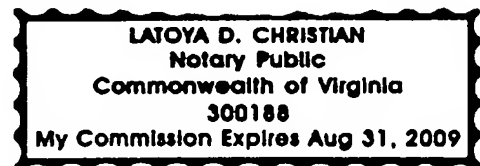
On 12-6, 2007, before me LATOYA D. CHRISTIAN  
personally appeared John B. Fenn, personally known to me or proved to me on the basis  
of satisfactory evidence to be the person whose name is subscribed to the within  
instrument and acknowledged to me that he executed the same in his authorized  
capacity, and that by his signature on the instrument, the person, or the entity upon  
behalf of which the person acted, executed the instrument.

WITNESS my hand

Frederica C. Mullen  
Frederica C. Mullen

WITNESS my hand and official seal.

[Signature]  
Notary Public





Dated: Dec 11, 2007

By: Michael E. Dziekan  
Michael E. Dziekan

State of Connecticut )

) ss.

County of New Haven)

On 12-11, 2007, before me Dwight J. Hurl  
personally appeared Michael E. Dziekan, personally known to me or proved to me on  
the basis of satisfactory evidence to be the person whose name is subscribed to the  
within instrument and acknowledged to me that he executed the same in his authorized  
capacity, and that by his signature on the instrument, the person, or the entity upon  
behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Dwight J. Hurl  
Notary Public

MY COMMISSION EXPIRES 12/31/2010